



1000.1221.070202

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
)
Thomas J. Schall, et al.)
)
Serial No.: 10/001,221) Examiner: Unknown
)
Filed: October 30, 2001) Group Art Unit: 1614
)
For: METHODS AND COMPOSITIONS) Attorney Docket No. 10709-014
FOR INDUCING AN IMMUNE)
RESPONSE)

Certificate of Mailing

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on 28 June 2002 by Kameli J. Sampkins

RESPONSE TO NOTICE TO FILE MISSING PARTS

United States Patent and Trademark Office
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Honorable Sir:

Responsive to the Notice to File Missing Parts of Nonprovisional Application mailed April 1, 2002, applicants' attorney herewith forwards the following:

- Declarations and Powers of Attorney signed by all inventors
- Substitute drawings (Figures 1 and 2)
- A Computer Readable Form (CRF) of the Sequence Listing (3.5" floppy disk)
- A paper copy of the Sequence Listing
- A statement as required by 37 CFR §1.821(f)

- An Amendment directing entry of the Sequence Listing into the application
- A check in the amount of \$2070.00 for the filing fee
- The appropriate copy of form PTO-1533
- A Request for a One Month Extension of Time and a check in the amount of \$110.00 for the Extension Fee

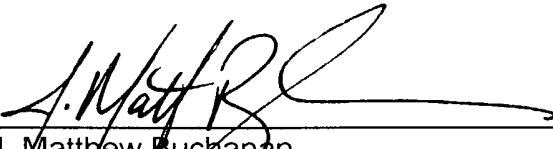
Please charge any deficiency or overpayment of these fees to Deposit

Account No. 23-1925. A duplicate copy of this document is enclosed for this purpose.

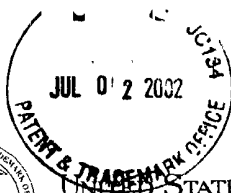
Timely acceptance of the enclosed documents is requested.

Respectfully submitted,

Date: 28 June 2002


J. Matthew Buchanan
Registration No. 47,459

BRINKS HOFER GILSON & LIONE
P.O. Box 10395
Chicago, IL 60610
(734) 302-6000

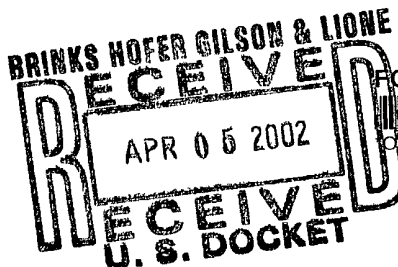


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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/001,221 | 10/30/2001 | Thomas J. Schall | 10709/14 |

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CHICAGO, IL 60610



CONFIRMATION NO. 2004

FORMALITIES LETTER



OC000000007759421*

Date Mailed: 04/01/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/08/2002 MAHMED1 00000132 10001221

| | |
|-----------|-----------|
| 01 FC:101 | 740.00 OP |
| 02 FC:102 | 336.00 OP |
| 03 FC:103 | 864.00 OP |
| 04 FC:105 | 130.00 OP |

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1200.
 - \$864 for 48 total claims over 20.
 - \$336 for 4 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2070.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - more than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.)(see 37 CFR 1.84(u)(1));
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May

15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- **For Rules Interpretation, call (703) 308-4216**
- **To Purchase PatentIn Software, call (703) 306-2600**
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*A copy of this notice **MUST** be returned with the reply.*



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PART 2 - COPY TO BE RETURNED WITH RESPONSE